

# On an Implementation of Standard Bases, Gröbner Bases and Normal-form Using Algebraic Local Cohomology

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## Abstract

In order to analyze properties of singularities, we sometimes need to compute standard bases and Gröbner bases. Therefore, a good implementation for computing them, is always required to study singularities. In this paper, we describe an implementation of standard bases, Gröbner bases and normal-form by using algebraic local cohomology. Moreover, we give a new algorithm for computing algebraic local cohomology. We show that the use of algebraic local cohomology provides efficient methods for computing standard bases, Gröbner bases and normal-form. Especially, for studying singularity theory, this implementation works powerfully.

## 1 Introduction

We describe an implementation of standard bases, Gröbner bases and normal-form by using algebraic local cohomology. In [24], Tajima and Nakamura studied the Jacobi ideals of isolated hypersurface singularities by using duality and describe, in particular, an effective method for solving membership problems for Jacobi ideals in local rings. In this paper, we give, using the same framework as in [24], a new method for treating standard bases and Gröbner bases of zero-dimensional ideals.

When we study singularities, we sometimes need to compute standard bases and Gröbner bases of given zero-dimensional Jacobi ideals. In this paper, we consider a Jacobi ideal of a given polynomial where the polynomial has an isolated singularity at the origin. We treat only zero-dimensional Jacobi ideals. Zero-dimensional ideals in the formal power series and the associated vector space consisting of algebraic local cohomology classes, are considered in the context of Grothendieck local duality ([9, 10]). This paper shows that the use of algebraic local cohomology provides an efficient method for computing standard bases and Gröbner bases. Since algebraic local cohomology classes have a lot of good properties and information for computing standard bases, Gröbner bases, normal-form and analyzing properties of singularities, first we consider an algorithm for computing

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algebraic local cohomology classes. In the first part of this paper, an algorithmic strategy for computing Čech cohomology representations of the algebraic local cohomology classes are described. In [1, 20, 21], Abe and Tajima illustrated an algorithm for computing algebraic local cohomology classes and its applications. In this paper, we improve the algorithm and give applications.

In the second part of this paper, we describe applications of algebraic local cohomology classes, which are to compute standard bases, Gröbner bases and normal-form.

The theory of standard bases for ideals in power series rings was introduced in 1964 by Hironaka [11] on the resolution of singularities. Since then, standard bases have been extensively utilized in various fields. There are now two classical and widely used method that compute standard bases of ideals, in local rings, generated by polynomials. One method is based on Mora's tangent cone algorithm and the other is based on Lazard's homogenization technique [6, 7, 12, 14]. For the zero-dimensional case, there is an another approach, called duality method to deal with ideals in local rings, which has also been extensively studied by several authors in the context of computer algebra [2, 13, 16]. In [20, 21], Tajima has adopted another classical duality, the Grothendieck duality on local residues, for treating standard bases of zero-dimensional ideals. The key ingredient in this approach is the concept of algebraic local cohomology. We show that the use of algebraic local cohomology provides an efficient method for computing standard bases. Moreover, as Tajima and Nakamura studied a method for solving membership problems for Jacobi ideals in local rings ([24]), it is easily possible to adapt this method to compute normal-forms in local rings.

The use of Gröbner basis computations for treating of polynomial equations, has become an important tool in many areas, reaching from pure mathematics to industrial applications. Despite the well-known complexity of Buchberger's algorithm ([4]), it has turned out to be very practicable for many special cases of interest. One of the applications of algebraic local cohomology classes, is to compute Gröbner bases. Let  $f$  be a polynomial in  $K[x_1, \dots, x_n]$  where  $K$  is the field of complex numbers or rational number, and  $x_1, \dots, x_n$  are variables. Assume that  $f$  has an isolated singularity at the origin. Then, the zero-dimensional Jacobi ideal  $J$  of  $f$  is generated by  $\partial f / \partial x_1, \dots, \partial f / \partial x_n$  where  $\partial$  is a partial derivative. Now, consider a primary ideal decomposition of  $J$ . Assume that  $J = J_1 \cap \dots \cap J_m$  is a primary ideal decomposition of  $J$  where  $J_1, \dots, J_m$  are primary ideals in  $K[x_1, \dots, x_n]$ . By using algebraic local cohomology classes, we can easily compute a Gröbner bases of  $J_i$  such that  $J_i$  has an isolated singularity at the origin ( $\exists i \in \{1, \dots, m\}$ ).

The key ingredient of all applications in this paper, is the concept of algebraic local cohomology. The methods have the following advantages.

- **The algorithm ends up only with linear algebra.**
- We do not need Mora's reduction (tangent cone algorithm) for computing standard bases.
- We do not need S-polynomials for computing Gröbner bases.

That's why we can obtain them efficiently.

All algorithms of this paper, have been implemented in the computer algebra system Risa/Asir ([19]) by the author.

The outline of this paper is as follows: Section 2 introduces a representation of algebraic local cohomology classes using Čech cohomology classes ([8, 18]). Section 3 gives fundamental tools to construct our algorithms. Section 4 describes to compute algebraic local cohomology classes. Section 5, 6, 7 treat applications of algebraic local cohomology, which are to compute standard bases, normal-form and Gröbner bases. Section 8 compares our implementation to Abe's ([1]) implementation.

## 2 Preliminary

We fix the following notations throughout this paper.  $\mathbb{Q}$ ,  $\mathbb{C}$  and  $\mathbb{N}$  are defined as the field of rational numbers, the field of complex numbers and the set of natural numbers, respectively. Note that in this paper, the set of natural number  $\mathbb{N}$  includes zero. **We use the notation  $x$  as the abbreviation of  $n$  variables  $x_1, \dots, x_n$ . I.e.,  $x = (x_1, \dots, x_n)$ .**

Let  $X$  be a neighborhood of the origin  $O$  of the  $n$ -dimensional complex space  $\mathbb{C}^n$  and let  $\mathcal{O}_X$  be the sheaf on  $X$  of holomorphic differential  $n$ -forms. Let  $\mathcal{H}_{[O]}^n(\mathcal{O}_X)$  be the algebraic local cohomology supported at the origin  $O$ . Then, the space  $\mathcal{H}_{[O]}^n(\mathcal{O}_X)$  has a structure of Fréchet-Schwartz topological vector space ([3]). Recall that the topological vector space  $\mathcal{H}_{[O]}^n(\mathcal{O}_X)$  and the space  $\mathcal{O}_{X,O}$  of formal power series at the origin, are mutually strong dual via the Grothendieck local residue pairing. This implies in particular the following fact which plays an important role in our approach ([23]).

**“Any algebraic local cohomology class in  $\mathcal{H}_{[O]}^n(\mathcal{O}_X)$  can be regarded as an analytic linear functional that acts on the space of formal power series at the origin.”**

Let  $f(x)$  be a holomorphic function on  $\mathcal{O}_X$ . Consider the ideal  $I_O$  generated by  $\frac{\partial f}{\partial x_1}(x)$ ,  $\frac{\partial f}{\partial x_2}(x), \dots, \frac{\partial f}{\partial x_n}(x)$  on  $\mathcal{O}_{X,O}$ . Then, the following set  $\mathcal{H}_f$  is annihilated by  $I_O$  and a subset of  $\mathcal{H}_{[O]}^n(\mathcal{O}_X)$  (algebraic local cohomology):

$$\mathcal{H}_f = \left\{ \psi \in \mathcal{H}_{[O]}^n(\mathcal{O}_X) \mid \frac{\partial f}{\partial x_1}(x)\psi = \frac{\partial f}{\partial x_2}(x)\psi = \dots = \frac{\partial f}{\partial x_n}(x)\psi = 0 \right\}.$$

Algebraic local cohomology  $\mathcal{H}_{[O]}^n(\mathcal{O}_X)$  can be represented as, by taking  $X$  sufficiently small if necessary, an element of relative Čech cohomology. Therefore, to regard  $\left[ \frac{1}{x^{\lambda+1}} \right]$  as a relative Čech cohomology class, any algebraic local cohomology class in  $\mathcal{H}_{[O]}^n(\mathcal{O}_X)$  can be represented as a finite sum of the form  $\sum_{\lambda} c_{\lambda} \left[ \frac{1}{x^{\lambda+1}} \right]$  ( $c_{\lambda} \in \mathbb{C}, \lambda = (l_1, l_2, \dots, l_n) \in \mathbb{N}^n$ ) where  $\lambda + 1 = (l_1 + 1, l_2 + 1, \dots, l_n + 1), 1 = (1, 1, \dots, 1)$ ). We also use the notation  $\sum \left[ \frac{1}{x^{\lambda+1}} \right]$  or  $\left[ \sum \frac{1}{x^{\lambda+1}} \right]$  for representing algebraic local cohomology classes in  $\mathcal{H}_{[O]}^n(\mathcal{O}_X)$ . Note that the multiplication is defined as

$$x^{\kappa} \left[ \frac{1}{x^{\lambda+1}} \right] = \begin{cases} \left[ \frac{1}{x^{\lambda+1-\kappa}} \right] & l_i \geq k_i, i = 1, \dots, n \\ 0 & \text{otherwise} \end{cases}$$

where  $\kappa = (k_1, \dots, k_n) \in \mathbb{N}^n, \lambda = (l_1, \dots, l_n) \in \mathbb{N}^n$ , and  $\lambda + 1 - \kappa = (l_1 + 1 - k_1, \dots, l_n + 1 - k_n)$  (see [17, 22]). In the following example, we see a concrete example of  $\mathcal{H}_f$ .

### Example 1

Let  $f = x^3 + xy^3$  be a polynomial in  $\mathbb{C}[x, y]$ . Then, by  $\frac{\partial f}{\partial x} = 3x^2 + y^3, \frac{\partial f}{\partial y} = 3xy^2$ , we can easily check that the following elements belong to  $\mathcal{H}_f$ :

$$\left[ \frac{1}{xy} \right], \left[ \frac{1}{xy^2} \right], \left[ \frac{1}{x^2y} \right], \left[ \frac{1}{xy^3} \right], \left[ \frac{1}{x^2y^2} \right] \in \mathcal{H}_f.$$

Furthermore, the following two elements also belong to  $\mathcal{H}_f$ :

$$\left[ \frac{1}{xy^4} \right] - \frac{1}{3} \left[ \frac{1}{x^3y} \right], \left[ \frac{1}{xy^5} \right] - \frac{1}{3} \left[ \frac{1}{x^3y^2} \right] \in \mathcal{H}_f.$$

Let us assume that a set  $\left\{ x \in X \mid \frac{\partial f}{\partial x_1}(x) = \dots = \frac{\partial f}{\partial x_n}(x) = 0 \right\} = \{\mathcal{O}\}$  is given and  $K$  is  $\mathbb{Q}$  or  $\mathbb{C}$ . We introduce a vector space  $H_{[\mathcal{O}]}^n(K[x])$  to be the set of algebraic local cohomology classes  $\sum_{\lambda} c_{\lambda} \left[ \frac{1}{x^{\lambda+1}} \right]$  with coefficients  $c_{\lambda}$  in  $K$ ,

$$H_{[\mathcal{O}]}^n(K[x]) = \lim_{k \rightarrow \infty} \text{Ext}_{K[x]}^n(K[x]/\langle x_1, x_2, \dots, x_n \rangle^k, K[x]).$$

We define a vector space  $H_f$  to be the set of algebraic local cohomology classes in  $H_{[\mathcal{O}]}^n(K[x])$  that are annihilated by these  $n$  polynomials  $\frac{\partial f}{\partial x_1}(x), \dots, \frac{\partial f}{\partial x_n}(x)$ ,

$$H_f = \left\{ \psi \in H_{[\mathcal{O}]}^n(K[x]) \mid \frac{\partial f}{\partial x_1}(x)\psi = \frac{\partial f}{\partial x_2}(x)\psi = \dots = \frac{\partial f}{\partial x_n}(x)\psi = 0 \right\}.$$

Then, the vector space  $H_f$  is the dual vector space of  $K[[x]]/I_{\mathcal{O}}$ , where  $K[[x]]$  stands for the space of formal power series with coefficients in  $K$  and  $I_{\mathcal{O}}$  is the ideal, in  $K[[x]]$ , generated by  $\frac{\partial f}{\partial x_1}(x), \dots, \frac{\partial f}{\partial x_n}(x)$ . Since the duality is induced by local residues, we have the non-degenerate residue pairing,

$$\text{res}_{\mathcal{O}}(\cdot, \cdot) : K[[x]]/I_{\mathcal{O}} \times H_f \longrightarrow K,$$

which can be regard as a special case of the Grothendieck local duality ([9]).

The non-degeneracy of the pairing implies the fact that, a formal power series  $h \in K[[x]]$  is in the ideal  $I_{\mathcal{O}}$  if and only if  $\text{res}_{\mathcal{O}}(h, \phi) = 0, \forall \phi \in H_f$ . Thus, the ideal  $I_{\mathcal{O}}$  is completely determined by the space  $H_f$  of algebraic local cohomology classes via local residues ([24]).

In order to compute algebraic local cohomology efficiently, we represent algebraic local cohomology class  $\sum_{\lambda} c_{\lambda} \left[ \frac{1}{x^{\lambda+1}} \right]$  as a  $n$  variables polynomial  $\sum c_{\lambda} \xi^{\lambda}$  where  $\xi = (\xi_1, \xi_2, \dots, \xi_n)$ . We call this representation “**polynomial representation**”. For example, let  $\psi = \left[ \frac{4}{x^3y^4} \right] + \left[ \frac{5}{x^2y^3} \right]$  where  $x, y$  are variables. Then, the polynomial representation of  $\psi$  is  $4\xi^2\eta^4 + 5\xi\eta^3$  where variables  $(\xi, \eta)$  are corresponding to variables  $(x, y)$ . That is, we have the following table for two variables:

Čech representation		polynomial representation
$c_{(l,m)} \left[ \frac{1}{x^{l+1}y^{m+1}} \right]$	$\longleftrightarrow$	$c_{(l,m)} \xi^l \eta^m$
$\sum c_{(l,m)} \left[ \frac{1}{x^{l+1}y^{m+1}} \right]$	$\longleftrightarrow$	$\sum c_{(l,m)} \xi^l \eta^m$

where  $c_{(l,m)} \in K$ .

The multiplication for polynomial representation, is defined as follows:

$$x^\kappa * \xi^\lambda = \begin{cases} \xi^{\lambda-\kappa} & l_i \geq k_i, i = 1, \dots, n, \\ 0 & \text{otherwise,} \end{cases}$$

where  $\kappa = (k_1, \dots, k_n) \in \mathbb{N}^n$ ,  $\lambda = (l_1, \dots, l_n) \in \mathbb{N}^n$ , and  $\lambda - \kappa = (l_1 - k_1, \dots, l_n - k_n)$ . If we apply polynomial representation to Example 1, then we have  $1, \eta, \xi, \eta^2, \xi\eta, \eta^3 - \frac{1}{3}\xi^2, \eta^4 - \frac{1}{3}\xi^2\eta \in H_f$ .

In section 4, we show an algorithm for computing a basis of  $H_f$  (algebraic local cohomology) which is the main result of this paper.

### 3 Fundamental tools

In this section, we give some fundamental tools to construct algorithms for computing a basis of  $H_f$ , standard bases and Gröbner bases. First, we define a term order for algebraic local cohomology. Second, we give some notations of this paper.

#### 3.1 Term ordering on algebraic local cohomology

In this paper, we use the following term order to compute a basis of  $H_f$ .

**Definition 2 (term order)**

For two multi-indices  $\lambda = (l_1, \dots, l_n)$  and  $\lambda' = (l'_1, \dots, l'_n)$  in  $\mathbb{N}^n$ , we denote

$$\left[ \frac{1}{x^{\lambda'+1}} \right] < \left[ \frac{1}{x^{\lambda+1}} \right] \text{ or } \lambda' + 1 < \lambda + 1$$

if  $|\lambda' + 1| < |\lambda + 1|$ , or  $|\lambda' + 1| = |\lambda + 1|$  and there exists  $j \in \mathbb{N}$  so that  $l'_i + 1 = l_i + 1$  for  $i < j$  and  $l'_j + 1 < l_j + 1$ , where  $|\lambda| = l_1 + \dots + l_n$ .

Let consider the case of “polynomial representation”. If  $\left[ \frac{1}{x^{\lambda'+1}} \right] < \left[ \frac{1}{x^{\lambda+1}} \right]$ , then obviously  $\xi^{\lambda'} < \xi^\lambda$  in  $K[\xi]$ . In polynomial representation, this is the **total-degree lexicographic order** such that  $\xi_1 < \xi_2 < \xi_3 < \dots < \xi_n$ .

For a given algebraic local cohomology class  $\varphi$  of the form  $(\lambda', \lambda \geq 1)$

$$\varphi = c_\lambda \left[ \frac{1}{x^\lambda} \right] + \sum_{\lambda' < \lambda} c_{\lambda'} \left[ \frac{1}{x^{\lambda'}} \right], \quad c_\lambda \neq 0,$$

we call  $\left[ \frac{1}{x^\lambda} \right]$  the **head term** (written:  $\text{ht}(\varphi)$ ) and  $\left[ \frac{1}{x^{\lambda'}} \right]$  the **lower term**. In polynomial representation, we also call  $\xi^{\lambda-1}$  the **head term** (written:  $\text{ht}(\varphi) = \xi^{\lambda-1}$ ) and  $\xi^{\lambda'-1}$  the **lower term**.

#### 3.2 Notations and Functions

In this paper, we treat lists and sets as saving data for computing algebraic local cohomology. In the next definition, we introduce functions of lists and sets.

**Definition 3**

Let  $A$  be a set. A function *list* converts  $A$  into the list  $\text{list}(A)$  whose elements are from  $A$ . This function does not care the ordering of  $A$ 's elements in the list. Conversely, let  $B$  be a list. A function *set* converts  $B$  into the set  $\text{set}(B)$  whose elements are from  $B$ .

The set of lists over  $A$ ,  $\text{LIST}(A)$ , is defined as the smallest set containing the empty list  $[\ ]$  (different from any element of  $A$ ) and the list  $[a_1, \dots, a_m]$  for all  $a_1, \dots, a_m \in A \cup \text{LIST}(A)$ .  $\text{list}(A)$  is equipped with the following (partial) operations:

CONS:  $A \cup \text{LIST}(A) \times \text{LIST}(A) \rightarrow \text{LIST}(A)$  maps  $(a, [a_1, \dots, a_n])$  to  $[a, a_1, \dots, a_n]$ .

CAR:  $\text{LIST}(A) \rightarrow \text{LIST}(A) \cap A$  maps  $[a_1, \dots, a_n]$  to  $a_1$  and is undefined for  $[\ ]$ .

CDR:  $\text{LIST}(A) \rightarrow \text{LIST}(A)$  maps  $[a_1, \dots, a_n]$  to  $[a_2, \dots, a_n]$  and is undefined for  $[\ ]$ .

APPEND:  $\text{LIST}(A) \times \text{LIST}(A) \rightarrow \text{LIST}(A)$  maps  $([a_1, \dots, a_n], [b_1, \dots, b_m])$  to  $[a_1, \dots, a_n, b_1, \dots, b_m]$ .

Let  $A = [1, 3, 5, 7, 9]$  be a list. Then,  $\text{set}(A) = \{1, 3, 5, 7, 9\}$ ,  $\text{CAR}(A) = 1$ ,  $\text{CDR}(A) = [3, 5, 7, 9]$ ,  $\text{CONS}(10, A) = [10, 1, 3, 5, 7, 9]$  and  $\text{APPEND}([2, 4], A) = [2, 4, 1, 3, 5, 7, 9]$ . Let  $B = \{2, 4, 6, 8\}$  be a set. Then,  $\text{list}(B) = [2, 4, 6, 8]$ .

A power product (or term) in  $x_1, \dots, x_n$  is an expression of the form  $x^\alpha := x_1^{\alpha_1} x_2^{\alpha_2} \cdots x_n^{\alpha_n}$  for some exponent vector  $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{N}^n$ . Then, the **degree** of this power product (written:  $\text{deg}(x^\alpha)$ ) is  $(\alpha_1, \dots, \alpha_n)$ . The **total degree** of this power product (written:  $\text{tdeg}(x^\alpha)$  or  $\text{tdeg}(\alpha)$ ) is the sum  $\alpha_1 + \cdots + \alpha_n$ . Let  $e_1, \dots, e_r$  be the canonical basis of a free module. I.e, for each  $i = 1, \dots, r$ ,

$$e_i = \left( \overset{i\text{-th}}{0, \dots, 0, \quad 1, \quad 0, \dots, 0} \right)$$

denotes the  $i$ -th canonical basis vector of  $\mathbb{N}^r$  with 1 at the  $i$ -th place.

## 4 Computation Method (Algebraic Local Cohomology)

In this section, we introduce an algorithm for computing algebraic local cohomology classes. This section is the main part of this paper [25]. As we described in section 1, algebraic local cohomology has a lot of good properties and information for computing standard bases and analyzing properties of singularities. Hence, first, we need an algorithm for computing algebraic local cohomology.

This section is organized as follows. In subsection 4.1, we give the outline of an algorithm for computing algebraic local cohomology. In subsection 4.2, we describe the detail of the first step of the algorithm. The main results are shown in subsection 4.3 and 4.4. In subsection 4.3, we mention how to decide a head term of an element of a basis of  $H_f$ . In subsection 4.4, we describe how to decide lower terms of an element of a basis of  $H_f$ .

### 4.1 Outline

Before describing the detail, we give the outline of the algorithm for computing algebraic local cohomology (a basis of the vector space  $H_f$ ). This outline facilitates understanding the detail of the whole algorithm. In the next subsection, we illustrate the detail.

Let  $f$  be a polynomial in  $K[x]$ . The algorithm for computing a basis of  $H_f$ , has mainly two steps. First, we need to compute elements of a basis of  $H_f$  which form monomial. After this step, a natural strategy for computing a basis of  $H_f$  is thus to find algebraic local cohomology classes from bottom to top by executing the following **STEP 2**.

**OUTLINE**

**Input:**  $f$ : a polynomial in  $K[x]$ ,

**Output:** a basis of  $H_f$  (algebraic local cohomology classes).

**STEP 1: To compute monomial elements.**

Compute all monomial elements  $\left[ \frac{1}{x^{\lambda+1}} \right]$  of  $H_f$  where  $\lambda \in \mathbb{N}^n$ .

$$\text{I.e., } \left( \frac{\partial f}{\partial x_1} \right) \left[ \frac{1}{x^{\lambda+1}} \right] = \left( \frac{\partial f}{\partial x_2} \right) \left[ \frac{1}{x^{\lambda+1}} \right] = \dots = \left( \frac{\partial f}{\partial x_n} \right) \left[ \frac{1}{x^{\lambda+1}} \right] = 0.$$

**STEP 2: To compute elements which form linear combination**  $\left( \sum c_\lambda \left[ \frac{1}{x^{\lambda+1}} \right] \right)$ .

1. Find a candidate  $\lambda$  of a head term.
2. Construct an associate set  $L_\lambda$  of candidates of lower terms
3. Set  $p = \left[ \frac{1}{x^{\lambda+1}} \right] + \sum_{\lambda' \in L_\lambda} c_{(\lambda, \lambda')} \left[ \frac{1}{x^{\lambda'+1}} \right]$  ( $\lambda, \lambda' \geq 0$ ).
4. Check the condition  $\left( \frac{\partial f}{\partial x_1} \right) p = \left( \frac{\partial f}{\partial x_2} \right) p = \dots = \left( \frac{\partial f}{\partial x_n} \right) p = 0$ , and decide  $c_{(\lambda, \lambda')}$ . That is, solve the system of linear equations which are created by the condition.

**Repeat from 1 to 4 until we obtain the condition of the termination.**

In the rest of this section, we describe the detail of the each part of OUTLINE. **Note that, from here, we treat “polynomial representation” for computing a basis of  $H_f$  in algorithms.** If we obtain a basis of  $H_f$  in polynomial representation, then we can easily transform them into their Čech representation by the definition.

## 4.2 STEP 1

First, we see **STEP 1** for obtaining monomial elements of a basis of  $H_f$ . By the definition of  $H_f$  and the multiplication, we can easily compute monomial elements of a basis of  $H_f$  as follows.

**(Algorithm) STEP1(f)****Input:**  $f$ : a polynomial in  $K[x]$  ( $<$ : the total degree term order,)**Output:** MList: monomial elements of a basis of  $H_f$ ,

FList: a list of exponents, GList: a list of lists, ML: a list.

- (1). Compute  $\frac{\partial f}{\partial x_i} = \sum_{\alpha} a_{i,\alpha} x^{\alpha}$  ( $i = 1, \dots, n$ ) and  $A = \{x^{\alpha} \in K[x] \mid \exists i, \text{ s.t. } a_{i,\alpha} \neq 0\}$ .
- (2). Compute the reduced Gröbner basis  $G$  of  $\langle A \rangle$  in  $K[x]$  w.r.t.  $<$ .
- (3). Select the lowest term  $g$  in  $G$ . FList  $\leftarrow$  list( $\{\text{deg}(g)\}$ )
- (4). Set an ordered list GList =  $[G_{i_1}, G_{i_2}, \dots, G_{i_s}]$  where  $G_{i_j} = [\text{deg}(r_{j,1}), \text{deg}(r_{j,2}), \dots, \text{deg}(r_{j,l_j})]$ ,  $r_{j,k} \in G \setminus \{g\}$ ,  $\text{tdeg}(r_{j,k}) = i_j$ ,  $i_1 < i_2 < \dots < i_s$ ,  $r_{j,1} < r_{j,2} < \dots < r_{j,l_j}$  for each  $1 \leq j \leq s$  and  $1 \leq k \leq l_j$ .
- (5). MList  $\leftarrow$  Compute monomial elements which does not belong to  $\langle G \rangle$ .  
ML  $\leftarrow \{\text{deg}(g) \mid g \in \text{MList}\}$

Clearly, for all  $\eta \in \text{MList}$ ,  $\left(\frac{\partial f}{\partial x_1}\right)\eta = \left(\frac{\partial f}{\partial x_2}\right)\eta = \dots = \left(\frac{\partial f}{\partial x_n}\right)\eta = 0$ . Therefore, by the definition of  $H_f$ , we can regard MList as a subset of a basis of  $H_f$ . In the following example, we see how **STEP1** works.

**Example 4**

Let  $f = x^3y + xy^4 + x^2y^3$  be a polynomial in  $K[x, y]$ , and  $<$  be a term order (see Definition 2) such that  $y < x$ .

- (1). We have  $\frac{\partial f}{\partial x} = 3x^2y + y^4 + 2xy^3$ ,  $\frac{\partial f}{\partial y} = x^3 + 4xy^3 + 3x^2y^2$  and  $A = \{x^2y, y^4, xy^3, x^3, xy^3, x^2y^2\}$ .
- (2).  $G = \{x^2y, x^3, y^4, xy^3\}$  is the reduced Gröbner basis of  $\langle A \rangle$  w.r.t.  $<$ .
- (3). In  $G$ ,  $x^2y$  is the lowest element w.r.t.  $<$ . Hence, FList =  $[(2, 1)]$ .
- (4). We have only one element  $x^3$  whose total degree is 3. Elements of total degree 4 are  $y^4$  and  $xy^3$ . Hence, GList =  $[(3, 0), [(0, 4), (1, 3)]]$ .
- (5). Finally, we need to commute a basis MList of  $K[x, y]/\langle G \rangle$ . Then, MList =  $[1, \xi, \xi^2, \eta, \xi\eta, \eta^2, \xi\eta^2, \eta^3]$ . In Figure 1, a symbol "•" means an exponent of an element of ML. A symbol "\*" means an exponent of an element of FList.

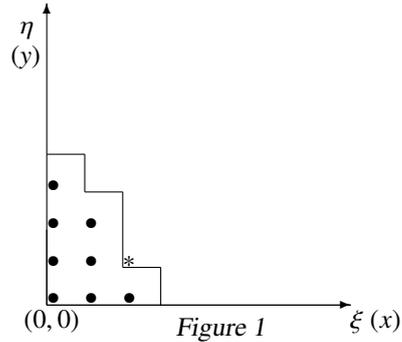


Figure 1

**4.3 How to decide head terms.**

In this and the next subsections, we consider **STEP 2** of **OUTLINE**. In **STEP 2**, we have to compute elements of a basis of a vector space  $H_f$  which form  $\sum c_{\lambda} \left[ \frac{1}{x^{\lambda+1}} \right]$ . First, in this subsection, we describe how to decide the head terms. Second, in the next subsection, we describe how to decide the lower terms.

Let  $\Lambda_H$  denote the set of exponent of head terms in  $H_f$  and  $\Lambda_H^{(\lambda)}$  denote a subset of  $\Lambda_H$ :

$$\Lambda_H = \{\lambda \in \mathbb{N}^n \mid \exists \varphi \text{ s.t. } \text{ht}(\varphi) = \xi^\lambda, \varphi \in H_f\} \quad \text{and} \quad \Lambda_H^{(\lambda)} = \{\lambda' \in \Lambda_H \mid \lambda' < \lambda\}.$$

In order to construct the algorithms for computing  $\sum c_\lambda \left[ \frac{1}{x^{\lambda+1}} \right]$ , let us recall the following results, which will be exploited several times in algorithms to compute a basis of  $H_f$ .

**Lemma 5 ([20, 24])**

If  $\eta \in H_f$ , so are  $x_j \eta$ ,  $j = 1, 2, \dots, n$ .

The Lemma 5 yields the followings [20, 24].

**Lemma 6**

Let  $\lambda = (l_1, \dots, l_n) \in \mathbb{N}^n$ . If  $\lambda \in \Lambda_H$ , then, for each  $j = 1, 2, \dots, n$ ,  $\lambda - e_j = (l_1, l_2, \dots, l_{j-1}, l_j - 1, l_{j+1}, \dots, l_n)$  is in  $\Lambda_H^{(\lambda)}$ .

**Lemma 7**

Let  $\lambda = (l_1, \dots, l_n) \in \mathbb{N}^n$ . If  $\lambda \notin \Lambda_H$ , then,  $(\lambda + \mathbb{N}^n) \cap \Lambda_H = \emptyset$ . (I.e.,  $\exists \alpha \in \text{FList}$ ,  $x^\alpha * \xi^\lambda \neq 0$ .)

Now, we construct an algorithm for computing a basis of  $H_f$ . In this subsection, we mainly mention how to decide head terms of a basis of  $H_f$ . In algorithms of this paper, we treat the following notations for saving data:

MList: a list of monomial elements of a basis of  $H_f$  (from **STEP 1**) in  $K[\xi]$ .

ML := {deg(g)|g ∈ MList}

SList: a list of non-monomial elements of a basis of  $H_f$  in  $K[\xi]$ .

TList := list({deg(ht(φ)) ∈ ℕ<sup>n</sup> | φ ∈ SList}) in ℕ<sup>n</sup>.

FList: a list of ex-candidates of head terms in ℕ<sup>n</sup>.

LList: a list (of exponents) of all lower terms of SList in ℕ<sup>n</sup>.

CT: a list of candidates of head terms in ℕ<sup>n</sup>. ( $\forall \alpha, \beta \in \text{CT}$ ,  $\text{tdeg}(\alpha) = \text{tdeg}(\beta)$ )

TT := list({α | α ∈ CT, α ∈ TList}). ( $\forall \alpha, \beta \in \text{TT}$ ,  $\text{tdeg}(\alpha) = \text{tdeg}(\beta)$ )

CL: a list of candidates of lower terms in ℕ<sup>n</sup>.

Therefore, the list APPEND(MList, SList) means a basis of  $H_f$ . When we create a list of lower terms LList, we need lists LL, UU, RR and EL in the following algorithm.

In the next subsection, we explain these lists and how to create the list CL.

**(Algorithm) MAIN(GList, FList, ML)**

**Input:** GList, FList, ML: lists (from **STEP 1**)

**Output:** SList, LList, TList, FList

**(0).** TT ← [ ]; CT ← CAR(GList); GList ← CDR(GList); TList ← [ ]

LList ← FList; EL ← FList; LL ← [ ]; RR ← [ ]; UU ← [ ] (◇1)

**(1).** if CT ≠ [ ] then

    γ ← CAR(CT), CT ← CDR(CT) /\* Select the lowest exponent γ in CT. \*/

**else if** CT = [ ] then

        (CT, GList) ← Head\_candidate(TT, CT, GList) (\*1)

        /\* candidates of head terms.\*/

**if** CT = [ ] then (\*2) /\* The condition of the termination \*/

        return(SList, TList, LList, FList)

```

end-if
TT ← [];  $\gamma \leftarrow \text{CAR}(\text{CT})$  /* Select the lowest exponent  $\gamma$  in CT. */
CT ←  $\text{CDR}(\text{CT})$ 
end-if
(2) We consider that  $\xi^\gamma$  is a candidate of a head term.
/*Make a list CL of candidates of the lower terms. */
 $(\text{CL}, \text{UU}, \text{EL}) \leftarrow \text{LOW\_candidate}(\gamma, \text{LList}, \text{LL}, \text{UU}, \text{RR}, \text{EL}, \text{ML}, \text{TList})$  ( $\diamond 2$ )
(3) Set  $p = \xi^\gamma + \sum_{\lambda \in \text{CL}} c_\lambda \xi^\lambda$  a candidate of a basis of  $H_f$  where  $c_\lambda \in K$ . Create a system of  $c_\lambda$ 's
linear equations from the condition  $(\frac{\partial f}{\partial x_1}) * p = (\frac{\partial f}{\partial x_2}) * p = \dots = (\frac{\partial f}{\partial x_n}) * p = 0$ . Then, solve the
system.

if a solutions of  $c_\lambda$ 's exists then
  Z ← 1
  TList ←  $\text{CONS}(\gamma, \text{TList})$ 
  TT ←  $\text{CONS}(\gamma, \text{TT})$ 
   $p' \leftarrow$  substitute the solution into  $c_\lambda$ 's of  $p$ .
  SList ←  $\text{CONS}(p', \text{SList})$  /*  $p'$  is an element of the basis. */
else
  Z ← 0;  $p' \leftarrow 0$ 
  FList ←  $\text{CONS}(\gamma, \text{FList})$  /*  $\xi^\gamma$  is not a head term of the basis. */
end-if
 $(\text{LList}, \text{LL}, \text{RR}, \text{EL}) \leftarrow \text{renew}(Z, \gamma, p', \text{LList}, \text{EL})$  ( $\diamond 3$ )
Repeat from (1) to (3) until (*2) comes.

```

**Remark:** In the next subsection, we explain ( $\diamond 1$ ), ( $\diamond 2$ ) and ( $\diamond 3$ ) for making candidates of lower terms. I.e., we see the algorithms LOW\_candidate and renew. In this subsection, we do not describe them.

In (1), if  $\text{CT} = []$ , then we need to renew the list CT (see (\*1)). In order to renew the list, we have to consider the following four cases.

**Case (i)**  $\text{TT} = []$ ,  $\text{GList} = []$ . **Case (ii)**  $\text{TT} = []$ ,  $\text{GList} \neq []$ .  
**Case (iii)**  $\text{TT} \neq []$ ,  $\text{GList} = []$ . **Case (iv)**  $\text{TT} \neq []$ ,  $\text{GList} \neq []$ .

The next algorithm H\_candidate tells us how to renew the list CT in each case. Actually, if we have the condition “ $\text{TT} = []$  and  $\text{GList} = []$ ”, the algorithm MAIN terminates. In the next algorithm, we apply Lemma 5,6,7 to make a list of candidates of head terms. The following functions are required in the algorithm H\_candidate, actually which are from Lemma 5,6,7.

**(Function)** nb(TT)

**Input** TT, **Output**  $S$ : a list.  
 $S \leftarrow []$   
**while** TT  $\neq []$  **then**  
 $\tau \leftarrow \text{CAR}(\text{TT}); \text{TT} \leftarrow \text{CDR}(\text{TT})$   
**for**  $i$  from 1 to  $n$  **do**  $\alpha \leftarrow \tau + e_i$   
**if**  $\alpha \notin S$  **then**  $S \leftarrow \text{CONS}(\alpha, S)$  **end-if**  
**end-for**  
**end-while**  
 return( $S$ )

**(Function)** cf( $L$ , FList)

**Input**  $L$ : a list of elements in  $\mathbb{N}^n$ , FList, **Output**  $S$ : a list.  
 $S \leftarrow []$   
**while**  $L \neq []$  **do**  $t \leftarrow 1$   
 $\alpha \leftarrow \text{CAR}(L); L \leftarrow \text{CDR}(L); W \leftarrow \text{FList}$   
**while**  $W \neq []$  **do**  
 $\kappa \leftarrow \text{CAR}(W); W \leftarrow \text{CDR}(W)$   
**if**  $x^\kappa * \xi^\alpha \neq 0$  **then**  $t \leftarrow 0$ ; **break** **end-if**  
**end-while**  
**if**  $t = 1$  **then**  $S \leftarrow \text{CONS}(\alpha, S)$  **end-if**  
**end-while**  
 return( $S$ )

We give an example of the functions. Let  $L = [(1, 2), (3, 1), (3, 4), (1, 8)]$  and  $\text{FList} = [(2, 3), (4, 0)]$ . Then,  $\text{nb}(L) = [(2, 2), (1, 3), (4, 1), (3, 2), (4, 4), (3, 5), (2, 8), (1, 9)]$  and  $\text{cf}(L, \text{FList}) = [(1, 2), (3, 1), (1, 8)]$ .

Now, we are ready to construct the following algorithm which creates candidates of head terms.

**(Algorithm)** H\_candidate(TT, CT, GList)

**Input:** TT, CT, GList: lists from MAIN

**Output:** CT, GList: lists

**Case (i)** TT = [], GList = []  
return([], []) /\* termination \*/

**Case (ii)** TT = [], GList ≠ []  
CT ← CAR(GList); GList = CDR(GList); return(CT, GList)

**Case (iii)** TT ≠ [], GList = []  
NT ← nb(TT); CT ← cf(NT, FList); return(CT, GList)

**Case (iv)** TT ≠ [], GList ≠ []

Set  $dt \in \mathbb{N}$  as the total degree of TT. (All elements of TT have the same total degree.) Set  $dg \in \mathbb{N}$  as the total degree of CAR(GList). (All elements of CAR(GList) have the same total degree, too.) In general,  $dg > dt$  ( $dg \neq dt$ ).

**if**  $dg - dt > 1$  **then**  
NT ← nb(TT); CT ← cf(NT, FList); return(CT, GList)

**end-if**

**if**  $dg - dt = 1$  **then**  
CT ← cf(nb(TT), FList) ∪ CAR(GList); GList ← CDR(GList)  
return (CT, GList)

**end-if**

**Assume that after creating lists, all elements of the lists are lined up in order of <.**

When the list CT can not be renewed, the algorithm MAIN terminates. That is, **case (i)** “TT = [], GList = []” is the condition of the termination. The following example tells us how the algorithms work.

### Example 8

Let consider Example 4, again. Let  $f = x^3y + xy^4 + x^2y^3$  be a polynomial in  $K[x, y]$ . In Example 4, we obtained FList = [(2, 1)], GList = [(3, 0), [(0, 4), (1, 3)]]. We apply the algorithm MAIN for computing a basis of  $H_f$ . We do not describe (◇1), (◇2), and (◇3). In Example 10, we see the computation of making candidates of lower terms.

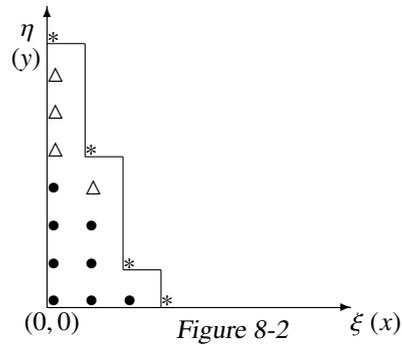
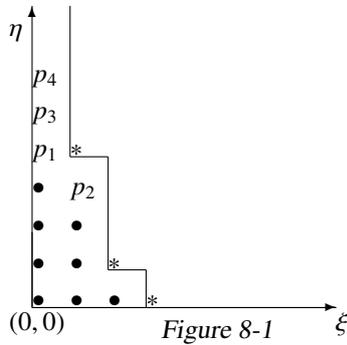
1-0. TT = []; CT = CAR(GList) = [(3, 0)]; GList = CDR(GList) = [(0, 4), (1, 3)].

1-1. By MAIN (1),  $\gamma = \text{CAR}(\text{CT}) = (3, 0)$  and  $\text{CT} = \text{CDR}(\text{CT}) = []$ . Now,  $\xi^3$  is a candidate of a head term. In (2), LOW\_candidate outputs a list CL as a set of candidates of the lower terms. In the next subsection, we describe how compute CL. In (3), set  $p = \xi^3 + \sum_{\lambda \in \text{CL}} c_\lambda \xi^\lambda$  and solve the system of linear equations which is from  $\left(\frac{\partial f}{\partial x}\right) * p = \left(\frac{\partial f}{\partial y}\right) * p = 0$ . Then, there does not exist a solution of  $c_\lambda$ . Hence, a basis of  $H_f$  does not have an element whose head term is  $\xi^3$ . FList = CONS((3, 0), FList) = [(3, 0), (2, 1)].

2-0. We have CT = [], TT = [] and GList = [(0, 4), (1, 3)]. By H\_candidate (ii), CT = [(0, 4), (1, 3)], GList = CDR(GList) = [].

2-1. In (1), TT = [],  $\gamma = \text{CAR}(\text{CT}) = (0, 4)$  and  $\text{CT} = [(1, 3)]$ .  $\eta^4$  is a candidate of a head term. LOW\_candidate outputs CL. Set  $p = \eta^4 + \sum_{\lambda \in \text{CL}} c_\lambda \xi^\lambda$  and solve the system of linear equations which is from  $\left(\frac{\partial f}{\partial x}\right) * p = \left(\frac{\partial f}{\partial y}\right) * p = 0$ . Then, there exists a solution. Therefore, we have  $p_1 = \eta^4 - \frac{1}{3}\xi^2\eta$  as an element of a basis of  $H_f$  and TT = CONS((0, 4), TT) = [(0, 4)], SList = CONS( $p_1$ , SList).

- 3-1. As  $CT = [(1, 3)]$  in (1),  $\gamma = CDR(CT) = (1, 3)$  and  $CT = CDR(CT) = []$ .  $\xi\eta^3$  is a candidate of a head term. LOW\_candidate outputs CL. Set  $p = \xi\eta^3 + \sum_{\lambda \in CL} c_\lambda \xi^\lambda$  and solve the system of linear equations. Then, there exists a solution. Therefore, we have  $p_2 = \xi\eta^3 - 4\xi^3 - \frac{2}{3}\xi^2\eta$  and  $TT = CONS((1, 3), TT) = [(1, 3), (0, 4)]$ , SList = CONS( $p_2$ , SList).
- 4-0. As  $CT = []$ ,  $TT = [(1, 3), (0, 4)] \neq []$  and GList =  $[\ ]$ , by H\_candidate (iii), we have  $NT = nb(TT) = [(0, 5), (1, 4), (2, 3)]$  and  $CT = cf(NT, FList) = [(0, 5), (1, 4)]$ .
- 4-1. In (1),  $TT = []$ ,  $CT = [(0, 5), (1, 4)]$ ,  $\gamma = CAR(CT) = (0, 5)$  and  $CT = CDR(CT) = [(1, 4)]$ .  $\eta^5$  is a candidate of a head term. LOW\_candidate outputs CL. Set  $p = \eta^5 + \sum_{\lambda \in CL} c_\lambda \xi^\lambda$  and solve the system of linear equations. Then, there exists a solution. Therefore, we obtain  $p_3 = \eta^5 - \frac{1}{3}\xi^2\eta^2 + \xi^3$  and  $TT = CONS((0, 5), TT) = [(0, 5)]$ , SList = CONS( $p_3$ , SList).
- 5-1. As  $CT = [(1, 4)]$  in (1),  $\gamma = CAR(CT) = (1, 4)$  and  $CT = CDR(CT) = []$ .  $\xi\eta^4$  is a candidate of a head term. LOW\_candidate outputs CL. Set  $p = \xi\eta^4 + \sum_{\lambda \in CL} c_\lambda \xi^\lambda$  and solve the system of linear equations. Then, there does not exist a solution. FList = CONS( $(1, 4)$ , FList) =  $[(1, 4), (3, 0), (2, 1)]$ .
- 6-0. We have  $CT = []$ ,  $TT = [(0, 5)]$  and GList =  $[\ ]$ . By H\_candidate (iii),  $NT = nb(TT) = [(0, 6), (1, 5)]$  and  $CT = cf(NT, FList) = [(0, 6)]$ .
- 6-1. In (1),  $TT = []$ ,  $\gamma = CAR(CT) = (0, 6)$ ,  $CT = [(0, 6)]$  and  $CT = CDR(CT) = []$ .  $\eta^6$  is a candidate of a head term. LOW\_candidate outputs CL. Set  $p = \eta^6 + \sum_{\lambda \in CL} c_\lambda \xi^\lambda$  and solve the system of linear equations. Then, there exists a solution. Therefore, we obtain  $p_4 = \eta^6 - \frac{1}{3}\xi^2\eta^3 + \frac{7}{33}\xi\eta^4 + \frac{4}{3}\xi^4 + \frac{5}{33}\xi^2\eta - \frac{14}{99}\xi^2\eta^2 + \frac{14}{33}\xi^3$  and  $TT = CONS((0, 6), TT) = [(0, 6)]$ , SList = CONS( $p_4$ , SList). In Figure 8-1, a symbol “•” means an element of ML from Example 4. A symbol “\*” means of an element of FList.  $p_i$  means an exponent of  $ht(p_i)$  for each  $i = 1, 2, 3, 4$ .
- 7-0. We have  $CT = []$ ,  $TT = [(0, 6)]$  and GList =  $[\ ]$ . By H\_candidate (iii),  $NT = nb(TT) = [(0, 7), (1, 6)]$  and  $CT = cf(NT, FList) = [(0, 7)]$ .
- 7-1. In (1),  $TT = []$ ,  $\gamma = CAR(CT) = (0, 7)$  and  $CT = CDR(CT) = []$ .  $\eta^7$  is a candidate of a head term. LOW\_candidate outputs CL. Set  $p = \eta^7 + \sum_{\lambda \in CL} c_\lambda \xi^\lambda$  and solve the system of linear equations. Then, does not exist a solution. FList = CONS( $(0, 7)$ , FList) =  $[(0, 7), (1, 4), (3, 0), (2, 1)]$ .
- 8-0. Now,  $CT = []$ ,  $TT = []$  and GList =  $[\ ]$ . By H\_candidate (i), CT can not be renewed. This is the condition of the termination. The computation stops. Therefore, we obtain a basis of  $H_f$  which is APPEND(SList, MLList). In Figure 8-2, a symbol “\*” means an element of FList, a symbol “ $\Delta$ ” means an element of TT.



#### 4.4 How to decide lower terms.

In this subsection, we describe how to decide lower terms. That is, we introduce the algorithms `renew` and `LOW_candidate` which are in the algorithm `MAIN`. In fact, we improve the algorithm [1, 20, 21] for computing a basis of  $H_f$ . A big improvement is a method of creating candidates of lower terms. While the algorithm runs for computing them, we can obtain a lot of good information `ML`, `FList`, `TList`, `LList` and `SList`. We are able to apply these information for getting a small number of the candidates. In [1], Abe has implemented an algorithm for computing a basis of  $H_f$ . However, he has not applied these information for computing them. This point is the big difference. In section 8, we compare Abe's implementation to our implementation. Before describing the algorithms, we introduce the following lemma which says properties of lower terms.

##### Lemma 9

Let  $p$  be an element of  $H_f$  and  $\left[ \frac{1}{x_1^{l_1+1} \cdots x_n^{l_n+1}} \right]$  be a lower term of  $p$ . During the computation of a basis of  $H_f$  (in the algorithm `MAIN`), exponents of the following terms

$$\left[ \frac{1}{x_1^{l_1} x_2^{l_2+1} \cdots x_n^{l_n+1}} \right], \left[ \frac{1}{x_1^{l_1+1} x_2^{l_2} x_3^{l_3+1} \cdots x_n^{l_n+1}} \right], \dots, \left[ \frac{1}{x_1^{l_1+1} x_2^{l_2+1} \cdots x_{n-1}^{l_{n-1}+1} x_n^{l_n}} \right]$$

belong to one of the followings:

- (1) `LList`, (2) `TList`, (3) `ML`, (4) `[0]` (become zero).

If we adopt polynomial representation, we can write them as the following.

Let  $\xi_1^{l_1} \cdots \xi_n^{l_n}$  be a lower term of  $p$ . Then, exponents of the terms

$$(l_1 - 1, l_2, \dots, l_n), (l_1, l_2 - 1, \dots, l_n), \dots, (l_1, \dots, l_{n-1} - 1, l_n), (l_1, \dots, l_{n-1}, l_n - 1)$$

- (i) belong to  $\text{set}(\text{ML}) \cup \text{set}(\text{TList}) \cup \text{set}(\text{LList})$ , or  
(ii) have `Os` (zeros) in some components.

We can write this lemma as the following function “`cd`”.

**(Function)** `cd(NL, ML, TList, LList)`

**Input:** `NL`: a list of elements of  $\mathbb{N}^n$ , `ML`, `TList`, `LList`  
**Output:** `S`: a list.  
`S`  $\leftarrow$  []  
**while** `NL`  $\neq$  [] **do**  
     $\tau \leftarrow \text{CAR}(\text{NL}); \text{NL} \leftarrow \text{CDR}(\text{NL})$   
    **if** ( $\tau \in \text{set}(\text{ML}) \cup \text{set}(\text{TList}) \cup \text{set}(\text{LList})$ ) or  
    ( $\exists i \in \mathbb{N}$  s.t.  $i$ -th component of  $\tau$  is 0) **then**  
        `S`  $\leftarrow \text{CONS}(\tau, \text{S})$   
    **end-if**  
**end-while**  
**return**(`S`)

The algorithm `LOW_candidate` which is the main result of this subsection, needs the functions “`cd`” and “`nd`”, to create candidates of lower terms.

Now, let consider lower terms. As the vector space  $H_f$  is the dual vector space of  $K[[x]]/J$ , if lower terms belong to `TList` and `ML`, then the lower terms are reduced by `MList` and `SList`. Hence,

we do not need the elements of ML and TList as lower terms. As we described, CL is a list of candidates of lower terms of  $\gamma$ . By this fact, CL can be written as  $CL = \text{APPEND}([\text{new candidates of lower terms}], \text{LList})$ . In algorithms of this paper, we treat the following notations for saving data:

EL: a list of new candidates of lower terms which does not belong to LList.

Let  $p$  is in SList. Then,

LL := list({ all lower terms of  $p$  }  $\cap$  set(EL)).

RR := list(set(EL) \ set(LL)).

UU := list({ $\alpha \in \text{nb}(\text{LL}) | \alpha > \gamma$ }).

**Note that**  $CL = \text{APPEND}(\text{EL}, \text{LList})$ .

In MAIN (3), we have to renew the lists LList, UU and EL (see ( $\diamond$  3)). In order to renew the lists, we have to consider the two cases. One is the case  $Z = 1$  (a solution exists). The other is the case  $Z = 0$  (a solution does not exist). The next algorithm tells us how to renew the lists.

**(Algorithm)** renew(LList, EL, Z,  $\gamma$ ,  $p'$ )

**Input:** LList, EL: lists, Z: 0 or 1,  $\gamma$ : an element in  $\mathbb{N}^n$ ,  $p'$ : a polynomial in  $K[\xi]$

(All arguments are from MAIN ( $\diamond$ 3).)

**Output:** LList, LL, RR, EL : lists of elements of  $\mathbb{N}^n$ ,

**if**  $Z = 0$  **then**

LL  $\leftarrow$  [ ]; EL  $\leftarrow$  CONS( $\gamma$ , EL); RR  $\leftarrow$  [ ]

**else**

LL  $\leftarrow$  list({All lower terms of  $p'$  }  $\cap$  set(EL)) ( $\clubsuit$ 1)

**if** LL  $\neq$  [ ] **then**

LList  $\leftarrow$  APPEND(LL, LList); RR  $\leftarrow$  list(set(EL) \ set(LL)); EL  $\leftarrow$  [ ]

**end-if**

**end-if**

return(LList, LL, RR, EL)

In order to create candidates of lower terms, we need to consider the following two cases.

**Case (i)** LL = [ ]. **Case (ii)** LL  $\neq$  [ ].

In the algorithm renew, as LL = list({all lower terms of  $p'$  }  $\cap$  set(EL)), LL has new lower terms which do not exist LList on ( $\clubsuit$ 1). Therefore, if LL  $\neq$  [ ], then, by Lemma 9, we have to create new candidates of lower terms for the next head term. In the case LL = [ ], we have to renew as the following algorithm.

**(Algorithm)** LOW\_candidate( $\gamma$ , LList, LL, UU, RR, EL, ML, TList)

**Input:**  $\gamma \in \mathbb{N}^n$ , LList, LL, UU, RR, EL, ML, TList : lists from MAIN,

**Output:** CL, UU, EL: lists, (Elements of CL are candidates of lower terms of  $\gamma$ .)

**(Case 1)** **if** LL = [ ] **then**

**if** UU  $\neq$  [ ] **then**

$E \leftarrow \{\alpha | \gamma > \alpha$  ( $\alpha$  is smaller than  $\gamma$ ),  $\alpha \in \text{UU}\}$

UU  $\leftarrow$  list(set(UU) \ cd( $E$ ))

EL  $\leftarrow$  APPEND(list(cd( $E$ )), EL)

**end-if**

**end-if**

CL  $\leftarrow$  APPEND(EL, LList)

```

return(CL, UU, EL)
(Case 2) if LL ≠ [ ] then
  /* Make lists EL and UU by RR and LL */
  E ← {α|γ > α, (α is smaller than γ), α ∈ UU}
  UU ← list((set(UU)\E)\{γ}) /*if γ ∈ UU */
  RR ← APPEND(list(E), RR)
  NL ← set(nb(LL))
  B ← {β|β > γ (γ is smaller than β), β ∈ NL}
  UU ← APPEND(list(B), UU)
  D ← cd(list(NL \ B), ML, TList, LList)
  EL ← APPEND(list(D \ (D ∩ set(RR))), RR)
end-if
CL ← APPEND(EL, LList)
return(CL, UU, EL)

```

We see an example how the algorithms renew and LOW\_candidate work.

### Example 10

Let consider Example 4, again. Let  $f = x^3y + xy^4 + x^2y^3$  be a polynomial in  $K[x, y]$ . In Example 8, we saw how to decide head terms. In this example, we see how to decide lower terms.

0. (Initialization) In  $(\diamond 1)$ , LList = [ ], EL = [(2, 1)] (= FList), UU = [ ], LL = [ ].

1. (3, 0) is a candidate of a head term. As LL = [ ], UU = [ ], by LOW\_candidate (**Case 1**), CL = APPEND(EL, LList) = [(2, 1)]. Set  $p = \xi^3 + c_{(2,1)}\xi^2\eta$  and check the condition  $\left(\frac{\partial f}{\partial x}\right) * p = \left(\frac{\partial f}{\partial y}\right) * p = 0$ . That is, solve the system of linear equation  $\left\{\left(\frac{\partial f}{\partial x}\right) * p = 3c_{(2,1)} = 0, \left(\frac{\partial f}{\partial y}\right) * p = 1 = 0\right\}$ . As there does not exist a solution of  $c_{(2,1)}$ , we obtain  $Z = 0$  in MAIN (3). By renew, we have LL = [ ], EL = CONS((3, 0), EL) = [(3, 0), (2, 1)] and LList = [ ].

2. (0, 4) is a candidate of a head term. As LL = [ ], UU = [ ], by LOW\_candidate (**Case 1**), CL = APPEND(EL, LList) = [(3, 0), (2, 1)]. Set  $p = \eta^4 + c_{(3,0)}\xi^3 + c_{(2,1)}\xi^2\eta$  and solve the system of linear equations  $\left\{\left(\frac{\partial f}{\partial x}\right) * p = 3c_{(2,1)} + 1 = 0, \left(\frac{\partial f}{\partial y}\right) * p = c_{(3,0)} = 0\right\}$ . Then, we have a solution  $c_{(3,0)} = 0, c_{(2,1)} = -\frac{1}{3}$ . Therefore,  $p = \eta^4 - \frac{1}{3}\xi^2\eta$ . By renew and  $Z = 1$ , we have LL = [(2, 1)], LList = [(2, 1)], RR = [(3, 0)] and EL = [ ].

3. (1, 3) is a candidate of a head term. As LL = [(2, 1)], UU = [ ], we apply LOW\_candidate (**Case 2**):  $E = \{ \}$ , UU = [ ], RR = [(3, 0)], NL = {(3, 1), (2, 2)},  $B = \{(3, 1), (2, 2)\}$  (as  $(1, 3) > (3, 1), (2, 2)$ ), UU = [(3, 1), (2, 2)] (as  $(1, 3) > (3, 1), (2, 2)$ ) and EL = [(3, 0)]. Then, CL = APPEND(EL, LList) = [(3, 0), (2, 1)]. Set  $\xi\eta^3 + c_{(3,0)}\xi^3 + c_{(2,1)}\xi^2\eta$  and solve the system of linear equations  $\left\{\left(\frac{\partial f}{\partial x}\right) * p = 3c_{(2,1)} + 2 = 0, \left(\frac{\partial f}{\partial y}\right) * p = c_{(3,0)} + 4 = 0\right\}$ . Then, we obtain a solution  $c_{(3,0)} = -4, c_{(2,1)} = -\frac{2}{3}$ . Therefore,  $p = \xi\eta^3 - 4\xi^3 - \frac{2}{3}\xi^2\eta$ . Since  $(3, 0) \in EL$  and  $(2, 1) \notin EL$ , we obtain LL = [(3, 0)], LList = [(3, 0), (2, 1)], RR = [ ] and EL = [ ].

4. (0, 5) is a candidate of a head term. As LL = [(3, 0)], UU = [(3, 1), (2, 2)], we apply LOW\_candidate (**Case 2**):  $E = \{(3, 1), (2, 2)\}$ , UU = [ ], RR = [(3, 1), (2, 2)], NL = nb(LL) = {(4, 0), (3, 1)},  $B = \{ \}$  (as  $(0, 5) > (4, 0), (3, 1)$ ), UU = [ ],  $D = \{(4, 0), (3, 1)\}$  and EL = [(4, 0), (3, 1), (2, 2)]. Hence, CL = APPEND(EL, LList) = [(4, 0), (3, 1), (2, 2), (3, 0), (2, 1)]. Set  $p = \eta^5 + c_{(4,0)}\xi^4 + c_{(3,1)}\xi^3\eta + c_{(2,2)}\xi^2\eta^2 + c_{(3,0)}\xi^3 + c_{(2,1)}\xi^2\eta$  and check the condition  $\left(\frac{\partial f}{\partial x}\right) * p = 3c_{(3,1)}\xi + (3c_{(2,2)} + 1)\eta + 3c_{(2,1)} = 0$  and  $\left(\frac{\partial f}{\partial y}\right) * p = c_{(4,0)}\xi + c_{(3,1)}\eta + (c_{(3,0)} + 3c_{(2,2)}) = 0$ . Then, we have a system of linear equations

$\{3c_{(3,1)} = 0, 3c_{(2,2)} + 1 = 0, 3c_{(2,1)} = 0, c_{(4,0)} = 0, c_{(3,1)} = 0, c_{(3,0)} + 3c_{(2,2)} = 0\}$ . A solution of the system is  $c_{(4,0)} = 0, c_{(3,1)} = 0, c_{(2,2)} = -\frac{1}{3}, c_{(3,0)} = 1, c_{(2,1)} = 0$ . Therefore,  $p = \eta^5 - \frac{1}{3}\xi^2\eta^2 + \xi^3$ . Since  $(2, 2) \in \text{EL}$ , we obtain  $\text{LL} = [(2, 2)]$ ,  $\text{LList} = [(2, 2), (3, 0), (2, 1)]$ ,  $\text{RR} = [(4, 0), (3, 1)]$  and  $\text{EL} = []$ .

5.  $(1, 4)$  is a candidate of a head term. As  $\text{LL} = [(2, 2)]$ ,  $\text{UU} = []$ , we apply LOW\_candidate (**Case 2**):  $E = \{ \}$ ,  $\text{RR} = [(4, 0), (3, 1)]$ ,  $\text{NL} = \text{nb}(\text{LL}) = [(3, 2), (2, 3)]$ ,  $\text{UU} = [(3, 2), (2, 3)]$  (as  $(3, 2), (2, 3) > (1, 4)$ ),  $D = \{ \}$  and  $\text{EL} = [(4, 0), (3, 1)]$ . Hence,  $\text{CL} = [(4, 0), (3, 1), (2, 2), (3, 0), (2, 1)]$ . Set  $p = \xi\eta^4 + c_{(4,0)}\xi^4 + c_{(3,1)}\xi^3\eta + c_{(2,2)}\xi^2\eta^2 + c_{(3,0)}\xi^3 + c_{(2,1)}\xi^2\eta$  and check the condition  $\left\{ \left( \frac{\partial f}{\partial x} \right) * p = 0, \left( \frac{\partial f}{\partial y} \right) * p = 0 \right\}$ . Then, this system does not have a solution, and  $Z = 0$ . By renew,  $\text{LL} = []$ ,  $\text{EL} = [(1, 4), (4, 0), (3, 1)]$  and  $\text{RR} = []$ .
6.  $(0, 6)$  is a candidate of a head term. As  $\text{LL} = []$ ,  $\text{UU} = [(3, 2), (2, 3)]$ , we apply LOW\_candidate (**Case 1**):  $E = \{(3, 2), (2, 3)\}$ ,  $\text{cd}(E) = \{(2, 3)\}$ ,  $\text{UU} = [(3, 4)]$ ,  $\text{EL} = [(2, 3), (1, 4), (4, 0), (3, 1)]$ . Hence,  $\text{CL} = [(2, 3), (1, 4), (4, 0), (3, 1), (2, 2), (3, 0), (2, 1)]$ . Set  $p = \eta^6 + c_{(2,3)}\xi^2\eta^3 + c_{(1,4)}\xi\eta^4 + c_{(4,0)}\xi^4 + c_{(3,1)}\xi^3\eta + c_{(2,2)}\xi^2\eta^2 + c_{(3,0)}\xi^3 + c_{(2,1)}\xi^2\eta$  and check the condition  $\left\{ \left( \frac{\partial f}{\partial x} \right) * p = 0, \left( \frac{\partial f}{\partial y} \right) * p = 0 \right\}$ . Then, we have a solution  $c_{(2,3)} = -\frac{1}{3}, c_{(1,4)} = \frac{7}{33}, c_{(4,0)} = \frac{4}{3}, c_{(3,1)} = \frac{5}{33}, c_{(2,2)} = -\frac{14}{99}, c_{(3,0)} = \frac{12}{33}, c_{(2,1)} = 0$ . Therefore,  $p = \eta^6 - \frac{1}{3}\xi^2\eta^3 + \frac{7}{33}\xi\eta^4 + \frac{4}{3}\xi^4 + \frac{5}{33}\xi^2\eta - \frac{14}{99}\xi^2\eta^2 + \frac{12}{33}\xi^3$ . Since  $(2, 3), (1, 4), (4, 0), (3, 1) \in \text{EL}$ , we have  $\text{LL} = [(2, 3), (1, 4), (4, 0), (3, 1)]$ ,  $\text{RR} = []$  and  $\text{LList} = [(2, 3), (1, 4), (4, 0), (3, 1), (2, 2), (3, 0), (2, 1)]$ .
7.  $(0, 7)$  is a candidate of a head term. As  $\text{LL} \neq []$  and  $\text{UU} = [(3, 2)]$ , we apply LOW\_candidate (**Case 2**):  $E = \{(3, 2)\}$ ,  $\text{UU} = []$ ,  $\text{RR} = [(3, 2)]$ ,  $\text{NL} = \text{nb}(\text{LL}) = [(3, 3), (2, 4), (1, 5), (5, 0), (4, 1), (3, 2)]$ ,  $B = \{ \}$ ,  $D = \text{NL}$ ,  $\text{EL} = [(3, 3), (2, 4), (1, 5), (5, 0), (4, 1), (3, 2)]$ . Hence,  $\text{CL} = \text{APPEND}(\text{EL}, \text{LList})$ . Set  $p = \eta^7 + \sum_{\lambda \in \text{CL}} c_\lambda \xi^\lambda$  and check the condition  $\left\{ \left( \frac{\partial f}{\partial x} \right) * p = 0, \left( \frac{\partial f}{\partial y} \right) * p = 0 \right\}$ . Then, does not exist a solution, and  $Z = 0$ . By renew,  $\text{LL} = []$ ,  $\text{EL} = [(0, 7), (3, 3), (2, 4), (1, 5), (5, 0), (4, 1), (3, 2)]$  and  $\text{RR} = []$ .

Now, we can compute a basis of  $H_f$  (algebraic local cohomology). The following algorithm outputs lists  $\text{MList}$ ,  $\text{SList}$ ,  $\text{TList}$ ,  $\text{LList}$  and  $\text{FList}$ . A basis of  $H_f$  is the list  $\text{APPEND}(\text{MList}, \text{SList})$ . As other lists are needed for computing standard bases and Gröbner bases, we let the next algorithm output them for the applications.

**(Algorithm) ALC( $f$ )**

**Input:**  $f$ : a polynomial in  $K[x]$ ,  
**Output:**  $\text{MList}$ ,  $\text{SList}$ ,  $\text{TList}$ ,  $\text{LList}$ ,  $\text{FList}$ .  
 ( $\text{APPEND}(\text{MList}, \text{SList})$  is a basis of  $H_f$ .)  
 $(\text{MList}, \text{ML}, \text{FList}, \text{GList}) \leftarrow \text{STEP1}(f)$   
 $(\text{SList}, \text{TList}, \text{LList}, \text{FList}) \leftarrow \text{MAIN}(\text{GList}, \text{FList}, \text{ML})$   
 return( $\text{MList}$ ,  $\text{SList}$ ,  $\text{TList}$ ,  $\text{LList}$ ,  $\text{FList}$ )

The algorithm ALC has been implemented on the computer algebra system Risa/Asir ([18]). This implementation outputs  $\text{MList}$  and  $\text{SList}$  as a basis of  $H_f$ .

### Example 11

Let consider  $E_{12}$  singularity defined by  $f = x^3 + y^7 + xy^5$ . Our implementation can output a polynomial representation of a basis of  $H_f$ .

```
[467] cohomology(x^3+y^7+x*y^5, [x, y], 1, 0, 0);
[[1, y, x, y^2, y*x, y^3, y^2*x, y^4, y^3*x], [-1/3*x^2+y^5, -1/3*y*x^2-7/5
*y^4*x+y^6, 7/15*x^3-1/3*y^2*x^2-7/5*y^5*x+y^7]]
```

The first list means MList and the second list means SList.

### Example 12

Let consider  $J_{10}$  singularity defined by  $f = x^3 + y^6 + x^2y^2$ . Our implementation can output a basis of  $H_f$ . The polynomial representation of a basis of  $H_f$ , is the following.

```
[469] cohomology(x^3+y^6+x^2*y^2, [x, y], 1, 0, 0);
[[1, y, x, y^2, y*x, y^3, y^4], [-2/3*x^2+y^2*x, -3*y*x^2+9/2*y^3*x+y^5, 2
*x^3-3*y^2*x^2+9/2*y^4*x+y^6]]
```

Now, we are ready to introduce applications of algebraic local cohomology (a basis of  $H_f$ ). In Section 5, 6 and 7, we describe algorithms for computing standard bases, Gröbner bases and normal-form, which are applications of algebraic local cohomology.

## 5 Standard Bases

In this section, we propose an algorithm for computing standard bases. Let  $f$  be a polynomial in  $K[x]$  such that  $f$  has an isolated singularity at the origin. Assume that  $J = \left\langle \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n} \right\rangle$  is a zero-dimensional Jacobi ideal of  $f$ . Then, after computing a basis of  $H_f$ , we can transform the basis into a standard basis of  $J$  in  $K[[x]]$ . As we said, this method using algebraic local cohomology, has the following advantages.

- We do not need Mora's reduction (tangent cone algorithm) for computing standard bases.
- The algorithm ends up only with linear algebra. (We do not need difficult and heavy computational techniques.)
- The termination of the algorithm is guaranteed. This means that if we know a Jacobi ideal is a zero dimensional ideal, then the algorithm terminates and outputs a reduced standard basis.

Recall that, there is a residue pairing, denoted by  $\text{res}_O(, )$ , between the quotient space  $K[[x]]/J$  and the vector space  $H_f$ ,

$$\text{res}_O(, ) : K[[x]]/J \times H_f \longrightarrow K.$$

The pairing is nondegenerate according to the Grothendieck local duality theorem([9]). As we mentioned, the result above implies in particular that a given formal power series  $p \in K[[x]]$  is in the ideal  $J$  if and only if  $p$  satisfies

$$\text{res}_O(p, \psi) = 0, \quad \forall \psi \in \text{APPEND}(\text{MList}, \text{SList}).$$

Now, we consider the **local total degree reverse lexicographical order** on  $K[[x]]$ , and let  $\text{ht}(p)$  denote, with respect to this order, the head term of formal power series  $p$  in  $K[[x]]$ .

The next theorem tells us the relation between algebraic local cohomology and standard bases. By this theorem, we can construct an algorithm for computing a standard basis of  $J$  in  $K[[x]]$  ([25]). In the following theorem, we regard  $\xi$  as  $x$ , and write  $\xi$  as  $x$ .

### Theorem 13

In this theorem, we write  $p \in \text{SList}$  as  $p = x^\tau + \sum_{\kappa < \tau} c_{(\tau, \kappa)} x^\kappa$ . Then, the polynomial  $x^\alpha$  for  $\alpha \in \text{FList}$  with  $\alpha \notin \text{LList}$  and  $p_\alpha(x) := x^\alpha - \sum_{\kappa \in \text{TList}} c_{(\kappa, \alpha)} x^\kappa$  for  $\alpha \in \text{set}(\text{FList}) \cap \text{set}(\text{LList})$  give rise to the

standard basis of the ideal  $J$  with the respect to the local total degree reverse lexicographic order. Note that, if  $\kappa \in \text{TList}$ , there exists  $q$  in  $\text{SList}$  which forms  $q = x^\kappa + \sum_{\beta < \kappa} c_{(\kappa, \beta)} x^\beta$ .

**Proof** It is clear from the definition that the monomial ideal in  $K[[x]]$  generated by  $\text{ht}(f)$ ,  $f \in J$  coincides with that generated by  $x^\alpha$ ,  $\alpha \in \text{FList}$ . That is, the list  $\text{FList}$  is the set of exponents of head terms of the standard basis. By the condition  $\text{res}_O(p, \phi) = 0, \forall \phi \in \text{APPEND}(\text{MList}, \text{SList})$ , it is obvious that if  $\alpha \in \text{FList}$  is not in  $\text{LList}$ , then the monomial  $p_\alpha(x) := x^\alpha$  itself is in the ideal  $J$ , and if  $\alpha \in \text{FList}$  is in  $\text{LList}$ , then  $p_\alpha(x) := x^\alpha - \sum_{\kappa \in \text{TList}} c_{(\kappa, \alpha)} x^\kappa$  is also in  $J$ . ■

**(Algorithm) StandardBasis( $f$ )**

**Input:**  $f$ : a polynomial in  $K[x]$ ,  
**Output:**  $S$ : a standard basis of  $J$  in  $K[[x]]$ .  
 $S \leftarrow \{ \}$ ; ( $\text{MList}, \text{SList}, \text{TList}, \text{FList}$ )  $\leftarrow \text{ALC}(f)$   
**while**  $\text{FList} \neq [ ]$  **do**  
 $\alpha \leftarrow \text{CAR}(\text{FList}); \text{FList} \leftarrow \text{CDR}(\text{FList})$   
 $s \leftarrow x^\alpha - \sum_{\kappa \in \text{TList}} c_{(\kappa, \alpha)} x^\kappa$  /\*if  $\kappa \in \text{TList}, \exists q = x^\kappa + \sum_{\beta < \kappa} c_{(\kappa, \beta)} x^\beta \in \text{SList}$  \*/  
 $S \leftarrow S \cup \{s\}$   
**end-while**  
**return**( $S$ )

**Example 14**

Let  $f = x^3y + xy^4 + x^2y^3$  be a polynomial in  $K[x, y]$ . In Example 8 and 10, we have already  $\text{FList} = [(0, 7), (1, 4), (2, 1), (3, 0)]$ ,  $\text{SList} = [p_1 = \eta^4 - \frac{1}{3}\xi^2\eta, p_2 = \xi\eta^3 - 4\xi^3 - \frac{2}{3}\xi^2\eta, p_3 = \eta^5 - \frac{1}{3}\xi^2\eta^2 + \xi^3, p_4 = \eta^6 - \frac{1}{3}\xi^2\eta^3 + \frac{7}{33}\xi\eta^4 + \frac{4}{3}\xi^4 + \frac{5}{33}\xi^2\eta - \frac{14}{99}\xi^2\eta^2 + \frac{14}{33}\xi^3]$  and  $\text{TList} = [(0, 4), (1, 3), (0, 5), (0, 6)]$ . Note that, elements of corners \* in Figure 8-2 are in  $\text{FList}$ , and become head terms of a standard basis of  $J$  in  $K[[x, y]]$ . (We regard  $\xi$  as  $x$ ,  $\eta$  as  $y$ .)

- (1). Take  $(0, 7)$  from  $\text{FList}$ . That is, consider  $y^7$  as the head term of a polynomial. Terms of polynomials  $p_1, p_2, p_3, p_4$  do not have  $\eta^7$ . Therefore, we have  $y^7$  as an element of a standard basis.
- (2). Take  $(1, 4)$  from  $\text{FList}$ . Then,  $p_4$  has the term  $xy^4$  whose coefficient is  $\frac{7}{33}$ . As the exponent of  $\text{ht}(p_4)$  is  $(0, 6)$ , we obtain a polynomial  $xy^4 - \frac{7}{33}y^6$ .
- (3). Take  $(2, 1)$  from  $\text{FList}$ . Then,  $p_1$  and  $p_2$  have the term  $x^2y$ . The coefficient of  $x^2y$  in  $p_1$ , is  $-\frac{1}{3}$  and the exponent of  $\text{ht}(p_1)$  is  $(0, 4)$ . The coefficient of  $x^2y$  in  $p_2$ , is  $-\frac{2}{3}$  and the exponent of  $\text{ht}(p_2)$  is  $(1, 3)$ . Therefore, we obtain  $x^2y + \frac{1}{3}y^4 + \frac{2}{3}xy^3$ .
- (4) Take  $(3, 0)$  from  $\text{FList}$ . Then,  $p_2, p_3$  and  $p_4$  have the term  $x^3$ . The coefficient of  $x^3$  in  $p_2$ , is  $-4$  and the exponent of  $\text{ht}(p_1)$  is  $(1, 3)$ . The coefficient of  $x^3$  in  $p_3$ , is 1 and the exponent of  $\text{ht}(p_3)$  is  $(0, 5)$ . The coefficient of  $x^3$  in  $p_4$ , is  $\frac{14}{33}$  and the exponent of  $\text{ht}(p_4)$  is  $(0, 6)$ . Therefore, we obtain  $x^3 + 4xy^3 - y^5 - \frac{14}{33}y^6$ .

Hence, a standard basis of  $J$  is  $\{y^7, xy^4 - \frac{7}{33}y^6, x^2y + \frac{1}{3}y^4 + \frac{2}{3}xy^3, x^3 + 4xy^3 - y^5 - \frac{14}{33}y^6\}$  w.r.t the local total degree reverse lexicographic term order ( $1 > y > x > y^2 > xy > x^2 > y^2 > \dots$ ).

## 6 Normal-form

Let  $f, h$  be polynomials and  $J$  be the Jacobi ideal of  $f$  in  $K[[x]]$ . In this section, we give an algorithm for computing a normal-form of  $h$  modulo an ideal generated by a standard basis of  $J$  in  $K[[x]]$ . **By this method, we can solve a membership problem of  $J$ .** Before describing the algorithm, we need the following two corollaries which are from Theorem 13, to construct the algorithm. Throughout this section, we fix a set  $DL := ML \cup \text{set}(TList) \cup \text{set}(LList)$ ,  $q(x) := \sum_{\lambda} h_{\lambda} x^{\lambda}$  in  $K[[x]]$  and  $J$  be the Jacobi ideal of a polynomial  $f$  in  $K[[x]]$ .

### Corollary 15

Assume that  $MList, TList, LList$  are from  $ALC(f)$ . If  $\lambda \notin DL$ , then,  $x^{\lambda} \in J$ .

### Corollary 16

In this corollary, we write  $p \in SList$  as  $p = x^{\tau} + \sum_{\kappa < \tau} c_{(\tau, \kappa)} x^{\kappa}$ . Then, for all  $\alpha \in \text{set}(TList) \cup \text{set}(LList)$ , we have the following relations  $x^{\alpha} \equiv \sum_{\kappa \in TList} c_{(\kappa, \alpha)} x^{\kappa} \pmod{J}$ . Note that, if  $\kappa \in TList$ , there exists  $q$  in  $SList$  which forms  $q = x^{\kappa} + \sum_{\beta < \kappa} c_{(\kappa, \beta)} x^{\beta}$ .

By these two corollaries and Theorem 13, we can construct an algorithm for computing normal-form by using algebraic local cohomology.

#### (Algorithm) Normal-form( $f, h$ )

**Input:**  $f, q$ : polynomials in  $K[x]$ , ( $J$  is the Jacobi ideal of  $f$ .)

**Output:**  $g$ : a normal-form of  $q$  modulo  $J$  in  $K[[x]]$  (i.e.,  $q \equiv g \pmod{J}$ ).

(0) ( $MList, SList, TList, FList, LList$ )  $\leftarrow ALC(f)$

$ML \leftarrow \{\text{deg}(t) | t \in MList\}; DL \leftarrow ML \cup \text{set}(TList) \cup \text{set}(LList)$

(1) Decompose  $q(x)$  as  $q(x) = \sum_{\lambda \in DL} q_{\lambda} x^{\lambda} + \sum_{\lambda' \notin DL} q_{\lambda'} x^{\lambda'}$ .

By Corollary 15,  $q(x) \equiv \sum_{\lambda \in DL} q_{\lambda} x^{\lambda} \pmod{J}$ .

(2) Decompose  $\sum_{\lambda \in DL} q_{\lambda} x^{\lambda} = \sum_{\tau \in ML} q_{\tau} x^{\tau} + \sum_{\gamma \in DL \setminus ML} q_{\gamma} x^{\gamma}$ .

(3) By Corollary 16,  $\forall \alpha \in \text{set}(TList) \cup \text{set}(LList)$ ,  $x^{\alpha} \equiv \sum_{\kappa \in TList} c_{(\kappa, \alpha)} x^{\kappa} \pmod{J}$ .

Transform the second part  $\sum_{\gamma \in DL \setminus ML} q_{\gamma} x^{\gamma}$  into  $\sum_{\tau \in TList, \gamma \in DL \setminus ML} c_{(\gamma, \tau)} x^{\tau}$  by the relations.

(4) We obtain  $g = \sum_{\tau \in ML} q_{\tau} x^{\tau} + \sum_{\tau \in TList, \gamma \in DL \setminus ML} c_{(\gamma, \tau)} x^{\tau}$  such that  $q(x) \equiv g \pmod{J}$ .

return( $g$ )

### Example 17

Let  $f = x^3 y + xy^4 + x^2 y^3$  be a polynomial in  $K[x, y]$ . In Example 8 and 10, we already have  $MList = [1, \xi, \xi^2, \eta, \xi\eta, \eta^2, \xi\eta^2, \eta^3]$ ,  $SList = [p_1 = \eta^4 - \frac{1}{3}\xi^2\eta, p_2 = \xi\eta^3 - 4\xi^3 - \frac{2}{3}\xi^2\eta, p_3 = \eta^5 - \frac{1}{3}\xi^2\eta^2 + \xi^3, p_4 = \eta^6 - \frac{1}{3}\xi^2\eta^3 + \frac{7}{33}\xi\eta^4 + \frac{4}{3}\xi^4 + \frac{5}{33}\xi^2\eta - \frac{14}{99}\xi^2\eta^2 + \frac{14}{33}\xi^3]$ ,  $TList = [(0, 4), (1, 3), (0, 5), (0, 6)]$  and  $LList = [(2, 3), (1, 4), (4, 0), (3, 1), (2, 2), (3, 0), (2, 1)]$ . Hence,  $DL = [(6, 0), (2, 3), (1, 4), (0, 5), (4, 0), (3, 1), (2, 2), (1, 3), (0, 4), (3, 0), (2, 1), (0, 3), (1, 2), (0, 2), (1, 1), (0, 1), (2, 0), (1, 0), (0, 0)]$ . And, by Corollary 16, there exist relations  $x^2 y^3 \equiv -\frac{1}{3}y^6 \pmod{J}$ ,  $xy^4 \equiv \frac{7}{33}y^6 \pmod{J}$ ,  $x^4 \equiv \frac{4}{3}y^6 \pmod{J}$ ,

$x^3y \equiv \frac{5}{33}y^6 \pmod{J}$ ,  $x^2y^2 \equiv -\frac{1}{3}y^5 - \frac{14}{99}y^6 \pmod{J}$ ,  $x^3 \equiv -4xy^3 + y^5 + \frac{14}{33}y^6 \pmod{J}$  and  $x^2y \equiv -\frac{1}{3}y^4 - \frac{2}{3}xy^3 \pmod{J}$ . Consider two polynomials  $h_1 = 4x^3y^2 + 5x^2y + 2x + y$  and  $h_2 = x^4 - 6x^3y - 2xy^4$ . First, we consider a normal-form of  $h_1$  by a standard basis of  $J$ . Since  $(3, 2) \notin \text{DL}$ ,  $(0, 1), (1, 0) \in \text{ML}$  and  $(2, 1) \in \text{LList}$ , we obtain  $h_1 \equiv 5x^2y + 2x + y \pmod{J}$ . Furthermore, as  $x^2y \equiv -\frac{1}{3}y^4 - \frac{2}{3}xy^3 \pmod{J}$ , we obtain  $h_1 \equiv 5(-\frac{1}{3}y^4 - \frac{2}{3}xy^3) + 2x + y \pmod{J}$ . Therefore,  $h_1$  can be reduced to  $y + 2x - \frac{5}{3}y^4 - \frac{10}{3}xy^3$  by a standard basis of  $J$ .

Next, we consider a normal-form of  $h_2$  by the standard basis of  $J$ . Since  $(4, 0), (3, 1), (1, 4) \in \text{LList}$  and  $x^4 \equiv \frac{4}{3}y^6$ ,  $x^3y \equiv \frac{5}{33}y^6$ ,  $xy^4 \equiv \frac{7}{33}y^6$ , we obtain  $h_2 \equiv \frac{4}{3}y^6 - 6(\frac{5}{33}y^6) - 2(\frac{7}{33}y^6) = 0$ . Therefore, this means that  $h_2$  is a member of  $J$  in  $K[[x]]$  (i.e.,  $h_2 \in J \subset K[[x]]$ ).

## 7 Gröbner Bases

In this section, we describe an algorithm for computing Gröbner bases. Theorem 13 says that once one has a basis of  $H_f$  (algebraic local cohomology classes), one can directly compute a standard basis of a Jacobi ideal from the basis. One can also derive an algorithm the primary component supported at the origin of the zero-dimensional ideal. Let  $I$  be a zero-dimensional ideal with an affine variety  $\mathbb{V}(I) = \{p_1, \dots, p_m\}$ . Assume that  $Q_1 \cap \dots \cap Q_m$  is the primary decomposition of  $I$  in  $K[x]$  where  $Q_i$  is a primary ideal supported at the  $p_i$ . Then, it is well-known that  $K[x]/I \cong K[x]/Q_1 \times \dots \times K[x]/Q_m$  (see [5]). By this fact and the definition of  $H_f$ , one can easily improve the algorithm StandardBasis to compute a Gröbner basis of  $Q_i$  where  $Q_i$  is the primary component supported at the origin. **In this section, we write this  $Q_i$  as  $J_O$ . Note that our algorithm is free from primary decomposition algorithm.** The algorithm for computing Gröbner bases using algebraic local cohomology, is the following.

### (Algorithm) GröbnerBasis( $f$ )

**Input:**  $f$ : a polynomial in  $K[x]$ ,  $<$ : a global term order,  
**Output:**  $S$ : a Gröbner basis of  $J_O$  w.r.t.  $<$ .  
**(0).**  $S \leftarrow \{ \}$ ; (MList, SList, TList, LList, FList)  $\leftarrow \text{ALC}(f)$ ;  $[\alpha_1, \dots, \alpha_t] \leftarrow$  Line up all elements of APPEND(TList, LList) in order of  $<$ , where  $\xi^{\alpha_1} < \dots < \xi^{\alpha_t}$ .  
**(1).** Let  $[p_1, \dots, p_m] = \text{SList}$ . Make the coefficient matrix  $\Phi$  of SList.  
 I.e.,  $\begin{pmatrix} p_1 \\ \vdots \\ p_m \end{pmatrix} = \Phi \begin{pmatrix} \xi^{\alpha_1} \\ \vdots \\ \xi^{\alpha_t} \end{pmatrix}$ .  $\Phi' \leftarrow$  Compute the row reduced echelon matrix of  $\Phi$ .  
**(2).**  $\begin{pmatrix} q_1 \\ \vdots \\ q_m \end{pmatrix} \leftarrow \Phi' \begin{pmatrix} \xi^{\alpha_1} \\ \vdots \\ \xi^{\alpha_t} \end{pmatrix}$  TL  $\leftarrow \{\text{deg}(q_1), \dots, \text{deg}(q_m)\}$   
 ML  $\leftarrow \{\text{deg}(g) | g \in \text{MList}\}$   
**(3).**  $G \leftarrow \text{ReducedGröbnerBasis}(\langle \xi^\gamma | \forall \gamma \in \text{nb}(\text{TL} \cup \text{ML}) \setminus (\text{TL} \cup \text{ML}) \rangle)$ .  
 KList  $\leftarrow \text{list}(\{\text{deg}(\tau) \in \mathbb{N}^n | \forall \tau \in G\})$  /\* In Figure 18, “\*”: \*/  
**(4).** **while** KList  $\neq [ ]$  **do**  
 $\sigma \leftarrow \text{CAR}(\text{KList})$ ; KList  $\leftarrow \text{CDR}(\text{KList})$   
 $s \leftarrow x^\sigma - \sum_{\kappa \in \text{TL}} c_{(\kappa, \gamma)} x^\kappa$  /\*if  $\kappa \in \text{TL}$ , then  $\exists q = x^\kappa + \sum_{\beta < \kappa} c_{(\kappa, \beta)} x^\beta \in \{q_1, \dots, q_m\}$  \*/  
 $S \leftarrow S \cup \{s\}$   
**end-while**  
 return( $S$ )

**Example 18**

Let  $f = x^3y + xy^4 + x^2y^3$  be a polynomial in  $K[x, y]$ . Consider the global total degree lexicographic order  $<$  such that  $y < x$ . Let  $G_O$  be a Gröbner basis of  $J_O$  where  $J_O$  is the primary component of the Jacobi ideal of  $f$ , supported at the origin. We consider to obtain  $G_O$ . In Example 8 and 10, we have already SList =  $[p_1 = \eta^4 - \frac{1}{3}\xi^2\eta, p_2 = \xi\eta^3 - 4\xi^3 - \frac{2}{3}\xi^2\eta, p_3 = \eta^5 - \frac{1}{3}\xi^2\eta^2 + \xi^3, p_4 = \eta^6 - \frac{1}{3}\xi^2\eta^3 + \frac{7}{33}\xi\eta^4 + \frac{4}{3}\xi^4 + \frac{5}{33}\xi^2\eta - \frac{14}{99}\xi^2\eta^2 + \frac{14}{33}\xi^3]$ , TList =  $[(0, 4), (1, 3), (0, 5), (0, 6)]$  and LList =  $[(2, 3), (1, 4), (4, 0), (3, 1), (2, 2), (3, 0), (2, 1)]$ .

We line up all elements of APPEND(TList, LList) in order of  $<$  as follows:

$$(2, 1), (3, 0), (0, 4), (1, 3), (2, 2), (3, 1), (4, 0), (0, 5), (1, 4), (2, 3), (0, 6).$$

Next, we make the coefficient matrix  $\Phi$  of SList.

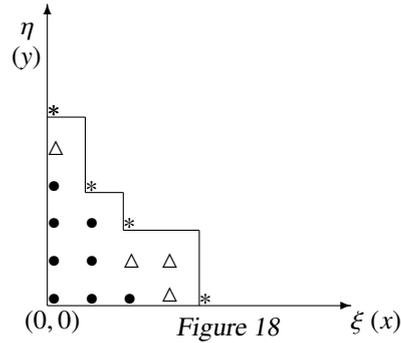
$$\Phi = \begin{pmatrix} \xi^2\eta & \xi^3 & \eta^4 & \xi\eta^3 & \xi^2\eta^2 & \xi^3\eta & \xi^4 & \eta^5 & \xi\eta^4 & \xi^2\eta^3 & \eta^6 \\ -\frac{1}{3} & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ -\frac{2}{3} & -4 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & -\frac{1}{3} & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & \frac{14}{33} & 0 & 0 & -\frac{14}{99} & \frac{5}{33} & \frac{4}{3} & 0 & \frac{7}{33} & -\frac{1}{3} & 1 \end{pmatrix}$$

The next matrix  $\Phi'$  is in reduced row echelon form of  $\Phi$ .

$$\Phi' = \begin{pmatrix} \xi^2\eta & \xi^3 & \eta^4 & \xi\eta^3 & \xi^2\eta^2 & \xi^3\eta & \xi^4 & \eta^5 & \xi\eta^4 & \xi^2\eta^3 & \eta^6 \\ 1 & 0 & 0 & -\frac{3}{2} & 2 & 0 & 0 & -6 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & -\frac{1}{3} & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & -\frac{1}{2} & \frac{2}{5} & 0 & 0 & -2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & \frac{44}{5} & -\frac{14}{5} & \frac{7}{5} & -\frac{11}{5} & \frac{33}{5} \end{pmatrix}$$

We have ML =  $\{(0, 0), (1, 0), (2, 0), (0, 1), (1, 1), (2, 0), (2, 1), (3, 0)\}$ , TL =  $\{(3, 0), (2, 1), (3, 1), (4, 1)\}$ . In Figure 18,  $\bullet$  means an element of ML and  $\Delta$  means an element of TL. Now, we can compute the reduced Gröbner basis  $G$  of  $\langle \xi^\gamma | \gamma \in \text{nb}(\text{TL} \cup \text{ML}) \setminus (\text{TL} \cup \text{ML}) \rangle$ . Then,  $G = \{\xi^5, \xi\eta^3, \xi^2\eta^2, \xi^4\}$  and KList =  $\{(0, 5), (1, 3), (2, 2), (4, 0)\}$ . In Figure 18,  $*$  means an element of KList. By Corollary 16, we have the following relations

$$\begin{cases} xy^3 \equiv -\frac{3}{2}x^2y - \frac{1}{2}, \\ x^2y^2 \equiv 2x^2y - \frac{1}{3}x^3 + \frac{2}{3}y^4, \\ x^4 \equiv \frac{44}{5}x^3y, \\ y^5 \equiv -6x^2y + x^3 - 2y^4 - \frac{12}{5}x^3y, \quad \text{mod } J_O \\ xy^4 \equiv \frac{7}{5}x^3y, \\ x^2y^3 \equiv -\frac{11}{5}x^3y, \\ y^6 \equiv \frac{33}{5}x^3y. \end{cases}$$



Now, we can follow the same procedure of the algorithm StandardBasis to compute a Gröbner basis  $G_O$  of  $J_O$  w.r.t.  $<$ . Then, we obtain the following set  $G_O$  of polynomials as the Gröbner basis;  $G_O = \{xy^3 + \frac{3}{2} + \frac{1}{2}y^4, x^2y^2 - 2x^2y + \frac{1}{3} - \frac{2}{3}y^4, x^4 - \frac{44}{5}x^3y, y^5 + 6x^2y - x^3 + 2y^4 + \frac{12}{5}x^3y\}$ .

**Example 19**

Let consider  $W_{25}$  singularity defined by  $f = x^4 + xy^7 + x^2y^5$ . Our implementation outputs a Gröbner basis of  $J_O$  w.r.t. the global total degree lexicographic order s.t.  $x > y$ , which is the following.

$$[x^6, y^8x^5, 5/7x^5 + y^2x^4, -196/45y^8x^4 + y^4x^3, 28/9x^4 + y^5x^2, 5/7y^4x^2 + y^6x, 4x^3 + 2y^5x + y^7]$$

**8 Comparison**

All algorithms in this paper have been implemented by the author in the computer algebra system Risa/Asir. In fact, the author’s program (NEW) of standard bases and normal-form, is unique one. Abe has not implemented them. In this final section, we compare Abe’s program ([1]) to our program. Both programs work on the computer algebra system Risa/Asir. We measure the computational time of both programs. In this comparison, both programs execute for obtaining a basis of  $H_f$  (algebraic local cohomology). We use the following computation environment [CPU: Pentium M 1.73 GHZ, OS: Windows XP] and Risa/Asir version 20080904 (Kobe Distribution). In the table,  $x, y, z$  are variables.

$f$		Abe	NEW
$x^3y + xy^4 + x^2y^3$	(Milnor no. 12)	0.11	0.015
$(x^2 + y^3)^2 + (2 + 3y)x^2y^3$	(Milnor no. 15)	0.141	0.015
$(x^2 + y^3)^2 + (2 + 3y)x^2y^5$	(Milnor no. 19)	0.25	0.063
$x^4 + xy^7 + x^2y^5$	(Milnor no. 25)	0.282	0.031
$(x^2 + y^3)^2 + (2 + 3y)x^2y^{10}$	(Milnor no. 29)	2.235	1.531
$x^4 + x^3y^3 + x^2y^5 + y^{12}$	(Milnor no. 29)	0.375	0.062
$x^2y + z^3y + 3y^2z^2 + y^4 + z^{14}$	(Milnor no. 35)	6.594	3.969
$(x^2 + y^3)^2 + (2 + 3y)x^2y^{20}$	(Milnor no. 49)	84.99	64.19
$x^2z + yz^2 + y^2z + 3xy^{17} + 8zy^{12}$	(Milnor no. 65)	85.98	10.05
$(x^2 + y^3)^2 + (2 + 3y)x^2y^{30}$	(Milnor no. 69)	1285	683.3
$x^4 + x^3y^9 + x^2y^{17} + y^{44}$	(Milnor no. 109)	31.97	4.015
$x^2z + yz^2 + y^{42} + 3xy^{32} + 8zy^{22}$	(Milnor no. 125)	> 2 hours	113.3

(CPU sec.)

As solving a system of linear equations is costly, we need a small number of candidates of lower terms, to compute a basis of  $H_f$ , efficiently. Since in this point, the author’s implementation has a big advantage, the implementation is more efficient than Abe’s one.

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